

Export LC Liquidation User Guide  
**Oracle Banking Trade Finance Process Management**  
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Oracle Banking Trade Finance Process Management - Export LC Liquidation User Guide  
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# Contents

<b>1. Preface .....</b>	<b>1-1</b>
1.1 Introduction.....	1-1
1.2 Audience.....	1-1
1.3 Documentation Accessibility.....	1-1
1.4 Organization .....	1-1
1.5 Related Documents .....	1-1
1.6 Diversity and Inclusion.....	1-1
1.7 Conventions.....	1-2
1.8 Screenshot Disclaimer.....	1-2
1.9 Glossary of Icons.....	1-2
<b>2. Oracle Banking Trade Finance Process Management .....</b>	<b>2-3</b>
2.1 Overview.....	2-3
2.2 Benefits.....	2-3
2.3 Key Features .....	2-3
<b>3. Export LC Liquidation .....</b>	<b>3-1</b>
3.1 Common Initiation Stage .....	3-1
3.2 Registration .....	3-2
3.2.1 <i>Application Details</i> .....	3-4
3.2.2 <i>Liquidation Details</i> .....	3-5
3.2.3 <i>Miscellaneous</i> .....	3-7
3.2.4 <i>Document Linkage</i> .....	3-8
3.3 Data Enrichment.....	3-12
3.3.1 <i>Main Details</i> .....	3-15
3.3.2 <i>Maturity Details</i> .....	3-17
3.3.3 <i>Advices</i> .....	3-20
3.3.4 <i>Additional Details</i> .....	3-20
3.3.5 <i>Settlement Details</i> .....	3-44
3.3.6 <i>Summary</i> .....	3-47
3.4 Exceptions .....	3-49
3.4.1 <i>Exception - Amount Block</i> .....	3-49
3.4.2 <i>Exception - Know Your Customer (KYC)</i> .....	3-51
3.5 Multi Level Approval .....	3-53
3.5.1 <i>Authorization Re-Key (Non-Online Channel)</i> .....	3-54
3.5.2 <i>Summary</i> .....	3-55
3.6 Reject Approval .....	3-56
3.6.1 <i>Application Details</i> .....	3-57
3.6.2 <i>Summary</i> .....	3-57
3.6.3 <i>Action Buttons</i> .....	3-57

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# 1. Preface

## 1.1 Introduction

This user manual is designed to help you quickly get acquainted with Export LC Liquidation process in Oracle Banking Trade Finance Process Management.

## 1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

## 1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

## 1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

## 1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

## 1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

## 1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
<b>boldface</b>	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

## 1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

## 1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

---

## 2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

### 2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

### 2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

### 2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

## 3. Export LC Liquidation

Export LC liquidation process enables the user to liquidate of drawings under an Export LC.

This section contains the following topics:

<a href="#">3.1 Common Initiation Stage</a>	<a href="#">3.6 Reject Approval</a>
<a href="#">3.2 Registration</a>	<a href="#">3.2.4 Document Linkage</a>
<a href="#">3.4 Exceptions</a>	<a href="#">3.5 Multi Level Approval</a>

### 3.1 Common Initiation Stage

The user can initiate the new export LC liquidation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot displays the Oracle OBTFPM application interface. On the left is a dark sidebar menu with various options; 'Initiate Task' is highlighted with a red box. The main content area is titled 'Initiate Task' and 'Registration'. It contains three input fields: 'Process Name' (a dropdown menu currently showing 'Export LC Liquidation'), 'Drawing Reference Number' (a text input field containing 'PK2ESCC19081A1JL' with a magnifying glass icon), and 'Branch' (a dropdown menu showing 'PK2-FLEXCUBE UNIVERSAL BANK'). At the bottom right of the form are two buttons: 'Proceed' and 'Clear'. The top of the page features the Oracle logo, the page title 'Initiate Task', and user details 'JEEV/subham@gmail.com'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Drawing Reference Number	Select the Drawing Reference Number.
Branch	Select the branch.

### 3.1.0.1 Action Buttons

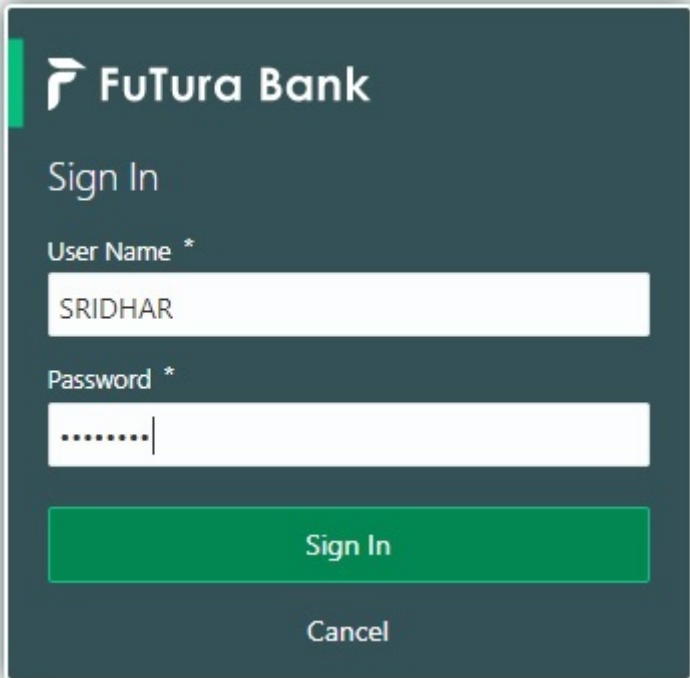
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

## 3.2 Registration

The process starts from Registration stage, during Registration stage, user can capture the basic details of the transaction and upload related documents. On submit of the request the request will be available for an LC expert to handle the liquidation request in the next stage.

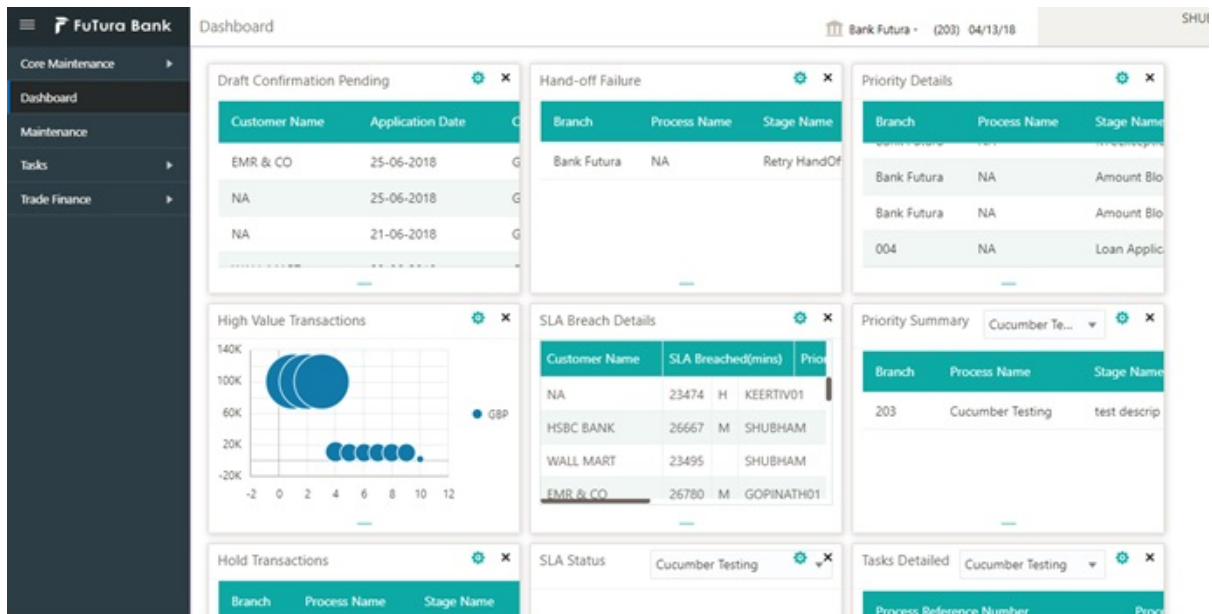
1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



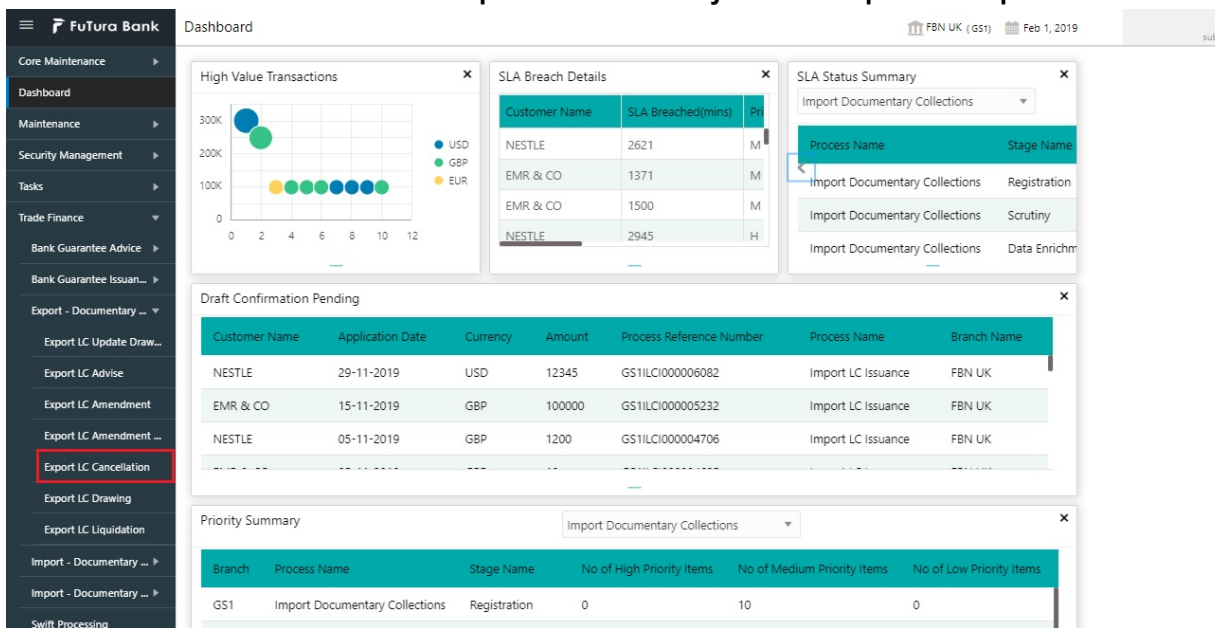
The image shows a login interface for FuTura Bank. It features a dark teal background with a green vertical bar on the left. The FuTura Bank logo is at the top left. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name \*' with the value 'SRIDHAR' and 'Password \*' with masked characters '.....'. A green 'Sign In' button is positioned below the password field, and a 'Cancel' link is at the bottom.



- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



- Click **Trade Finance> Export - Documentary Credit> Export LC Liquidation**.



The Registration stage has two sections Basic Details and Liquidation Details. Let's look at the details of Registration screens below:

### 3.2.1 Application Details

ENTITY\_ID1 (ENTITY\_L...
Oracle Banking Trade Finan...
Aug 3, 2023
ZART/ subham@gmail

Documents
Remarks
Customer Instruction

Port LC Liquidation

Application Details

Drawing Reference Number \*
ESUC211250502
Export LC Reference Number
PK1ELAC21125A15Q
Beneficiary
000325 NATIONAL FREI
Branch
032-Oracle Banking Trade Finan...
Process Reference Number
ELCL000176357
Priority
Medium
Submission Mode
Desk
Liquidation Date
Aug 3, 2023

View LC
Event

Liquidation Details

LC Type
Drawing Reference Number
ESUC211250502
Date of Expiry
3, 2021
Amount with Bank
Conditional Amount
Final Amount
Documents Received
Drawing Date
May 5, 2021
Presenting Bank
Drawing Amount
GBP £22,000.00
Nego/Finance Amount
Customer Dispatch
Product Code
ESUC
Issuing Bank
000330 CITIBANK
Presenting Bank Reference Number
Amount In Local Currency
AED AED 22,000.00
Liquidation Amount \*
GBP
Product Description
OUTGOING DOCUMENTARY SIGHT BIL
Issuing Bank Reference
REFERENCE123
Confirming Bank
Outstanding Amount
GBP £22,000.00
Unlinked FX Rate

Hold
Cancel
Save & Close
Subm

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	Provide the drawing reference number. Alternatively, user can search the Drawing reference number using LOV. In the LOV, user can input Drawing Reference Number, Applicant, Beneficiary, Issue Date, Currency, Amount and User Reference to fetch the drawing details. Based on the search result, select the applicable LC to add the applicant response.	
Export LC Reference Number	Read only field. Export LC Reference Number will be auto-populated based on the selected LC from the LOV.	
Beneficiary	Read only field. Beneficiary ID and name will be auto-populated based on the selected LC from the LOV.	
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export LC Liquidation request. By default the submission mode will have the value as 'Desk'.  <b>Desk-</b> Request received through Desk <b>Courier-</b> Request received through Courier	Desk
Liquidation Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018

### 3.2.2 Liquidation Details

Registration user can provide liquidation details in this section. Alternately, details can be provided by Data Enrichment user.

Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor of the drawing.	
Documents Received	Read only field. This field displays the documents received details of the drawing.	
Product Code	Read only field. This field displays the product code of the drawing.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
User Reference Number	Read only field. This field displays the user reference number based on the product code.	
Drawing Date	Read only field. This field displays the drawing date details of the drawing.	
Issuing Bank	Read only field. This field displays the issuing bank ID and issuing bank name of the drawing.	

Field	Description	Sample Values
Issuing Bank Reference	Read only field. This field displays the issuing bank ID of the drawing.	
Date of Expiry	Read only field. This field displays the expiry date of the drawing.	
Presenting Bank	Read only field. Presenting Bank will be auto-populated based on the selected LC from the LOV.	
Presenting Bank Reference Number	Read only field. Presenting Bank Reference number will be auto-populated based on the selected LC from the LOV.	
Confirming Bank	Read only field. Confirming Bank. details if any, will populate from drawing/update drawing.	
Available with Bank	Specify the value for available with bank. The Available Bank details should be handed off against 'Collecting Bank' Party in OBTF.  <div style="border: 1px solid black; padding: 5px; margin: 10px 0;"> <p><b>Note</b></p> <ul style="list-style-type: none"> <li>– 1) If the Bank selected in Confirming Bank and Available with Bank are same, system should hand off only the Confirming Bank details in Back office.</li> <li>2) If Confirming Bank/Available with Bank are the same as Issuing Bank/Presenting Bank, system should display an error.</li> </ul> </div>	
Drawing Amount	Read only field. This field displays the LC Drawing Amount as per the selected drawing.	
Amount in Local Currency	Read only field. This field displays the Local currency and amount.	
Outstanding Amount	Read only field. Outstanding amount value will be auto-populated. This field displays the value as per the latest LC.	

Field	Description	Sample Values
Additional Amount	Read only field. Additional Amount will be auto-populated. This field displays the value as per the latest LC.	
Nego/Finance Amount	Read only field. Nego/Finance Amount will be auto-populated. This field displays the value as per the latest LC.	
Liquidation Amount	Provide the liquidation amount.	
Unlinked FX Rate	Provide the Unlinked FX Rate.	
Rebate Amount	Read only field. Rebate to the bill outstanding amount.	
Customer Dispatch	The value will be populated from back office based on the maintenance.  <b>Toggle On:</b> If the toggle is set to Yes, the customer has the option to dispatch the documents directly to the importer's bank.  <b>Toggle Off:</b> If the toggle is set to No, the bank has to dispatch the documents to the importer's bank.  Bank user is not allowed to edit the field, if the value defaulted from the back office is "No",	

### 3.2.3 Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the documents received under the LC.	

Field	Description	Sample Values
Remarks	Provide any additional information regarding the drawing. This information can be viewed by other users processing the request.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> <li>● <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li> <li>● <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li> </ul>	
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Events	On click, system will display the details of LC issuance, amendments (if any), drawings (if any) and liquidations if any under the LC in chronological sequence from LC drawing.	
<b>Action Buttons</b>		
Submit	<p>On submit, task will get moved to next logical stage of Export LC Liquidation.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancels the Export LC Liquidation Registration stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	

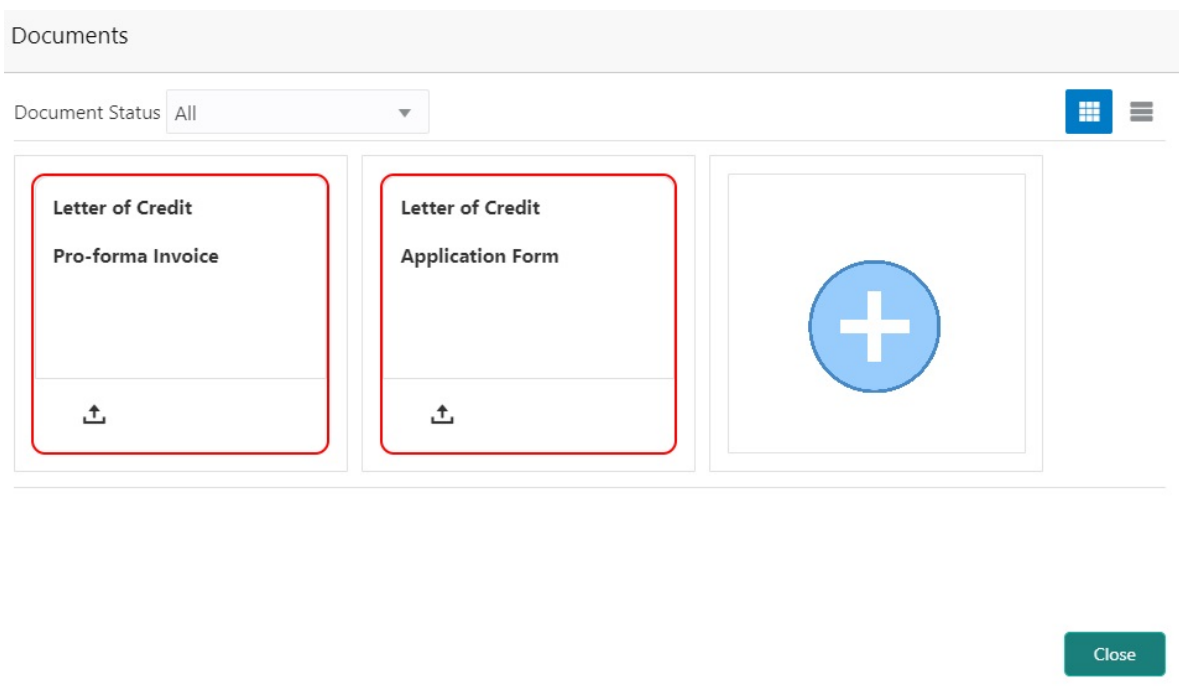
### **3.2.4 Document Linkage**

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type \*  
Letter of Credit ▼

Document Title \*

Remarks

Drop files here or click to select

Selected files: []

Document Code \*  
Insurance Policy ▼

Document Description

Document Expiry Date

[Link Document](#)

Upload

Link

Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.



The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id \*

032204

Document Id

Document Type \*

Document Code \*

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page 1

(0 of 0 items)

<

1

>

Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id \*

032204

Document Type \*

Documentary Collection

Document Id

Document Code \*

Insurance Policy

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
<a href="#">Link</a>	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
<a href="#">Link</a>	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
<a href="#">Link</a>	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
<a href="#">Link</a>	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
<a href="#">Link</a>	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page 1 of 2 (1-5 of 7 items)

< 1 2 > >>

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Documents

Edit Document

Document Id

2400

Application Reference Number

PK2ILCI000019041

Document Type Id

TFCM\_DOCTYPE001

Remarks

Document Title

wqwq

Entity Reference Number

PK2ILCI000019041

Document Description

Document Expiry Date

Jun 29, 2022

Drop files here or click to select

Current selected files: []

Update

Cancel

## 3.3 Data Enrichment

On successful completion of Registration of an Export LC Liquidation request, the request moves to Data Enrichment stage. At this stage the gathered information during Registration are scrutinized.

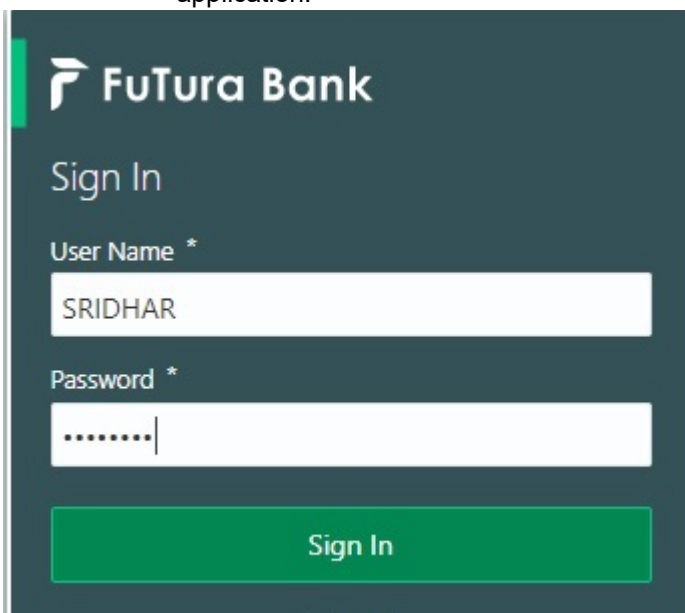
During the submit at the end of this stage, an amount block will be created in the settlement account for the amount to be liquidated. Customer cannot use the amount for which the amount block is created until the transaction is completed.

### Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

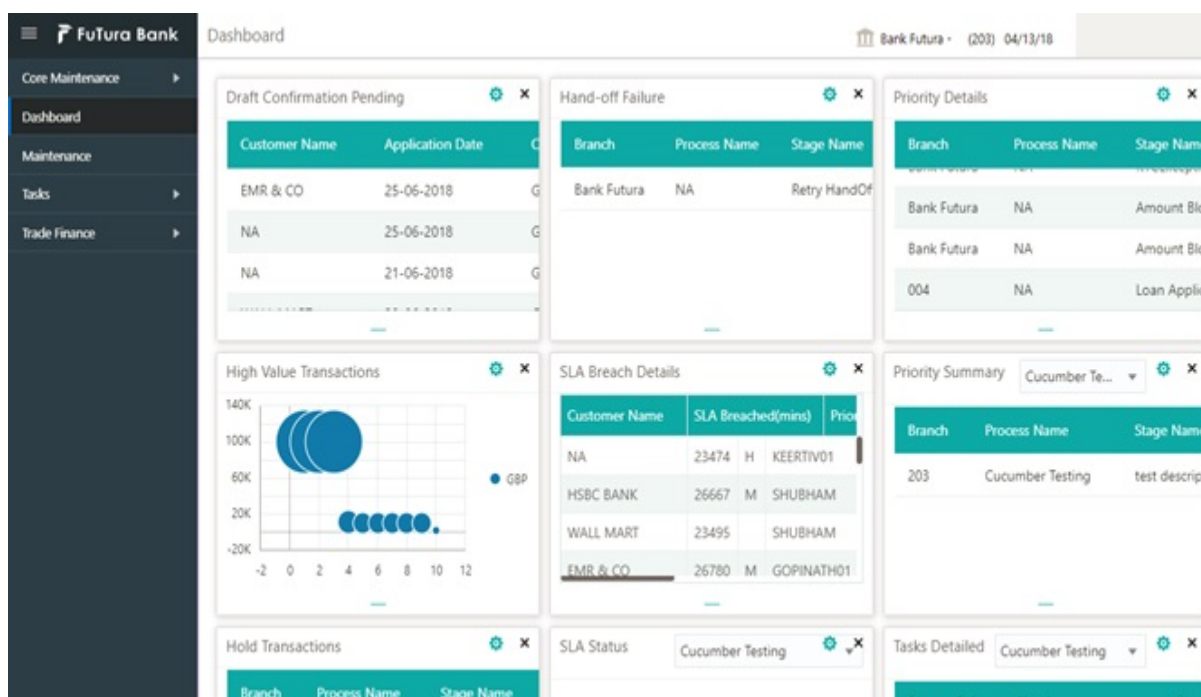
Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text "Sign In" is displayed. There are two input fields: "User Name \*" with the value "SRIDHAR" and "Password \*" with masked characters. A green "Sign In" button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



### 3. Click Trade Finance> Tasks> Free Tasks.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref
Acquire & Edit	M	GS1ELCL000006484	GS1	000262	£100.00	Export LC Liquidation	Data Enrichment	GS1EAPS190323
Acquire & Edit	H	GS1ELCA000006480	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328
Acquire & Edit		GS1ILCA000006456	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit		GS1ILCA000006450	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit	M	GS1ELCA000006454	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328
Acquire & Edit	H	GS1ELCA000006453	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2880 records Next

### 4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref
Acquire & Edit	M	GS1ELCL000006484	GS1	000262	£100.00	Export LC Liquidation	Data Enrichment	GS1EAPS190323
Acquire & Edit	H	GS1ELCA000006480	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328
Acquire & Edit		GS1ILCA000006456	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit		GS1ILCA000006450	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit	M	GS1ELCA000006454	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328
Acquire & Edit	H	GS1ELCA000006453	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2880 records Next

### 5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref
Edit	M	GS1ELCL000006484	GS1	000262	£100.00	Export LC Liquidation	Data Enrichment	GS1EAPS190323

Page 1 of 1 (1 of 1 items) Previous 1 - 1 of 1 records Next

The Data Enrichment stage has following hops for data capture:

- Main Details
- Maturity Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for liquidation stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

### 3.3.1 Main Details

Main details section has two sub section as follows:

- Application Details
- Liquidation Details

#### 3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) for more information of the fields.

Oracle LC Liquidation  
Enrichment :: Application No:- PK2ELCL000017934

Clarification Details Documents Remarks Overrides Customer Instruction

Main Details Application Details

Drawing Reference Number \* PK2EUUD211250001

Export LC Reference Number PK2ELAC211250003

Beneficiary 001044 GOODCARE PLC

Branch PK2-Oracle Banking Trade Finan...

Process Reference Number PK2ELCL000017934

Priority Medium

Submission Mode Desk

Liquidation Date Jun 13, 2021

View LC Event

Liquidation Details

Tenor Type

Documents Received

Product Code EUUD

Product Description OUTGOING DOCUMENTARY USANCE E

User Reference Number PK2EUUD211250001

Drawing Date May 24, 2021

Issuing Bank 003763 CITIBANK IRELA

Issuing Bank Reference 43354656

Date of Expiry Aug 3, 2021

Presenting Bank

Presenting Bank Reference Number

Confirming Bank

Available with Bank

Drawing Amount GBP £10,000.00

Amount In Local Currency GBP

Outstanding Amount GBP £10,000.00

Additional Amount

Nego/Finance Amount GBP £3,000.00

Liquidation Amount \* GBP £100.00

Unlinked FX Rate

Rebate Amount GBP

Customer Dispatch

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

#### 3.3.1.2 Liquidation Details

The fields listed under this section are same as the fields listed under the [3.2.2 Liquidation Details](#) section in [3.2 Registration](#). Refer to [3.2.2 Liquidation Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Summary

Liquidation Details

Tenor Type

Documents Received

Product Code EUUD

Product Description OUTGOING DOCUMENTARY USANCE E

User Reference Number PK2EUUD211250001

Drawing Date May 24, 2021

Issuing Bank 003763 CITIBANK IRELA

Issuing Bank Reference 43354656

Date of Expiry Aug 3, 2021

Presenting Bank

Presenting Bank Reference Number

Confirming Bank

Available with Bank

Drawing Amount GBP £10,000.00

Amount In Local Currency GBP

Outstanding Amount GBP £10,000.00

Additional Amount

Nego/Finance Amount GBP £3,000.00

Liquidation Amount \* GBP £100.00

Unlinked FX Rate

Rebate Amount GBP

Customer Dispatch

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

### 3.3.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted.	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"><li>• <b>Standard Instructions</b> – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.</li><li>• <b>Transaction Level Instructions</b> – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.</li></ul>	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

### 3.3.2 Maturity Details

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	<p>Read only field.</p> <p>This field displays the tenor type as per LC.</p>	
Tenor Basis	<p>Read only field.</p> <p>This field displays the tenor basis as per LC.</p>	

Field	Description	Sample Values
Start Date	Read only field. This field displays the tenor start date as per LC.	
Tenor Days	Read only field. This field displays the number of tenor days as per LC.	
Transit Days	Read only field. This field displays the transit days as per LC.	
Maturity Date	Read only field. System displays the due date for the drawing based on tenor and tenor basis.	
Usance Interest Rate	Read only field. This field displays the usance interest rate.	
Interest Amount	Read only field. This field displays the Interest Amount.	
Interest from Date	Read only field. This field displays the Interest from date.	
Interest To Date	Read only field. This field displays the Interest to date.	
Accept Commission From Date	Read only field. This field displays the acceptance commission start date.	
Accept Commission To Date	Read only field. This field displays the acceptance commission to date.	

### 3.3.2.1 Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -1	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	



Field	Description	Sample Values
Other Bank Description -2 to 3	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Description -3	This field displays the description of charges to be collected for the other bank as part of the drawings transaction.	

### 3.3.2.2 Other Details

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	
Allow Pre-Payment	<b>Toggle On:</b> Set the toggle 'On' to enable pre-payment. <b>Toggle Off:</b> Set the toggle 'Off' to disable pre-payment.	
Refund Interest	<b>Toggle On:</b> Set the toggle 'On' to refund the interest. <b>Toggle Off:</b> Set the toggle 'Off' to deny the interest refund.	
Transfer Collateral from LC	<b>Toggle On:</b> Set the toggle 'On' to enable the transfer collateral from LC. <b>Toggle Off:</b> Set the toggle 'Off' to disable the transfer collateral from LC.	
Sender to Receiver Information-MT756	Click the edit icon and specify the sender to receiver information in Line 1-6 and click Save & Close to save the changes. The user can edit the details by clicking the edit icon.	
Narrative-MT756	Specify the narrative.	

### 3.3.2.3 Additional Fields

Banks can configure user defined fields as per their requirement in the Additional Fields screen.

### 3.3.3 Advices

ORACLE

Free Tasks

(DEFAULTTIVITY)

(PK2)  
May 6, 2019

subh

Export LC Liquidation - DataEnrichment :: Application No: PK2ELCL000058413

Main Details

Maturity Details

Advices

Additional Details

Settlement Details

Summary

Advices

Advice : ADV\_PMT\_ACP

Advice Name : **ADV\_PMT\_ACP**  
Advice Party : **ISSUING BANK**  
Party Name : **WELLS FARGO LA**  
Suppress : **NO**  
Advice

Advice : REMITTANCE\_LTR

Advice Name : **REMITTANCE\_LTR**  
Advice Party : **ISSUING BANK**  
Party Name : **WELLS FARGO LA**  
Suppress : **NO**  
Advice

Advice : PAYMENT\_MESS...

Advice Name : **PAYMENT\_MESSAGE**  
Advice Party :  
Party Name :  
Suppress : **NO**  
Advice

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required. User can also suppress the advice, if required

### 3.3.4 Additional Details

Export LC Liquidation - DataEnrichment :: Application No: PK2ELCL000006978

Overrides

Customer Instruction

Common Group Message

Main Details

Maturity Details

Advices

Additional Details

Settlement Details

Summary

Additional Details

Pre Shipment Details

Limit Currency :  
Limit Contribution :  
Limit Status :  
Collateral Currency : **GBP**  
Collateral : **4800**  
Contribution :  
Collateral Status :

Payment Details

Immediate Liquidation: **No**  
Required : **No**  
Immediate : **No**  
Acceptance Required :

Commission, Charges an...

Charge : **GBP 1200**  
Commission :  
Tax : **GBP null**  
Block Status :

FX Linkage

FX Reference Number :  
Contract Currency :  
Contract Amount :

Preview Messages

Language :  
Preview Advice : +-

Loan Preferences

Loan Tenor :  
Loan Maturity :  
Loan Amount :

Accounting Details

Event : **LIQD**  
Branch : **PK1**  
Account : **PK1000325025**

Linked Loan Details

Loan Account :  
Loan Currency :  
Loan Amount :

Assignment of Proceeds

AssignmentOfProceeds **No**  
Assignee Name :  
Assigned Amount :

Interest Details

Audit

Reject

Refer

Hold

Cancel

Save & Close

Back

#### 3.3.4.1 Limits & Collateral

#### 3.3.4.2 Limits & Collateral

During Liquidation, system only displays the details as available for in contract and all values will be display.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

#### Limits and Collaterals

##### Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	View
-------------	--------------	------------------	------------------------	-------------	----------------	-----------------------	-------------------	----------------------	------------------	------

No data to display.

##### Issuance Collateral Details

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
-----------------	-----------------------------	--------------------	---------------	--------------	---------------------	---	--------------------------------

				0	0		VN
--	--	--	--	---	---	--	----

##### Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
-----------------	------------------	-----------------------	----------------------	---	--------------------------------------	------	--------

No data to display.

Page 1 (0 of 0 items) < 1 >

Save & Close Cancel

Field	Description	Sample Values
View	Click View icon to view Limit Details.	

##### Limit Details

Below fields are displayed on the Limit Details pop-up screen, if the user clicks View icon .

Customer ID	Read only field. This field displays the Issuing Bank/ Confirming bank customer ID defaulted from the application.	
Linkage Type	Read only field. This field displays the linkage type. Linkage type can be: <ul style="list-style-type: none"> <li>Facility</li> <li>Liability</li> </ul> By default Linkage Type should be "Facility".	
Contribution%	Read only field. System will default this to 100%.	
Liability Number	Read only field. This field displays the Liability Number .	
Contribution Currency	Read only field. The LC currency will be defaulted in this field.	

Field	Description	Sample Values
Line ID/Linkage Ref No	Read only field. This field displays the line ID from the various lines available and mapped under the customer id.	
Line Serial	Read only field. Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Limit/ Liability Currency	Read only field. Limit Currency will be defaulted in this field.	
Limits Description	Read only field. This field displays the limits description.	
Limit Check Response	Read only field. Response can be 'Success' or 'Limit not Available'.	
Amount to Earmark	Read only field. Amount to Earmark will default based on the contribution%.	
Expiry Date	Read only field. This field displays the date up to which the Line is valid	
Limit Available Amount	Read only field. This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	
Response Message	Read only field. Detailed Response message..	
ELCM Reference Number	Read only field. This field displays the ELCM reference number.	

This section displays the collateral details:

Collateral Details

Total Collateral Amount \*

Sequence Number

Collateral Contribution Amount \*

Settlement Account Currency

Contribution Amount in Account Currency

Response

Collateral Amount to be Collected \*

Collateral Split % \*

Exchange Rate

Response Message

Cancel

#### Cash Collateral Details

Collateral Percentage	Read only field. The percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	Read only field. System populates the contract currency as collateral currency by default.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks the View link.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	

Collateral Split %	Read only field. The collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Read only field. Collateral contribution amount will get defaulted in this field.  The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.	
Settlement Account	Read only field. The settlement account for the collateral.	
Settlement Account Currency	Read only field. Settlement Account Currency will be auto-populated based on the Settlement Account.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. Account available amount will be auto-populated based on the Settlement Account selection.	
Response	Read only field. Response can be 'Success' or 'Amount not Available'.  System populates the response on clicking the <b>Verify</b> button.	
Response Message	Read only field. Detailed Response message.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	<p>Read only field.</p> <p>The percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p>	
Contribution Amount	<p>Read only field.</p> <p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p>	
Account Balance Check Response	<p>Read only field.</p> <p>This field displays the account balance check response.</p>	
View Link	<p>Click view link to view any existing Collateral Details.</p>	

#### **Deposit Linkage Details**

In this section which the deposit linkage details is captured.

System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/ modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details

Customer Id

091215

Deposit Account

PK2CDP1221100002

Deposit Branch

PK2

Deposit Available Amount

AED

AED 87,508.00

Deposit Maturity Date

Deposit Available In Transaction Currency

Linkage Percentage %

45.00

Linkage Amount(Transaction Currency)

AED

AED 450.00

Exchange Rate

Save & Close

Close

Field	Description	Sample Values
Click + plus icon to add new deposit details.		
Customer Id	Read only field. Customer ID is defaulted from the system.	
Deposit Account	Read only field. This field displays the deposit account .for linkage.	
Deposit Branch	Read only field. Branch will be auto populated based on the Deposit account selection.	
Deposit Available Amount	Read only field. Amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Read only field. Maturity Date of deposit is displayed based on the Deposit Account selection.	
Exchange Rate	Read only field. Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available in Transaction Currency	Read only field. Deposit amount available should be displayed after exchange rate conversion, if applicable.	



Field	Description	Sample Values
Linkage Percentage%	Read only field. Displays the value for linkage percentage.	
Linkage Amount (Transaction Currency):	Read only field. System to default the transaction amount user can change the value.  System validates the linking amount with available Deposit balance and should not allow to link more than the available amount.	

Below fields appear in the **Deposit Details** grid along with the above fields.

Deposit Currency	Read only field. The currency will get defaulted in this field.	
Transaction Currency	Read only field. The currency will get defaulted in this field from the underlying task.	

### 3.3.4.3 Commission, Charges and Taxes Details

This section displays charge details:

Commission, Charges and taxes

Recalculate
Redefault

Commission Details

Amount

Amount Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
-----------	------	-----------	----------	--------	----------	-------	-------	--------------	-------------	-----------

No data to display.

Page 1 (0 of 0 items)
1

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
-----------	--------------	------------	----------	--------	----------	---------	-------	-------	--------------	--------------------

No data to display.

Page 1 (0 of 0 items)
1

Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
-----------	------	------------	-----	--------	---------	-------	-------------

No data to display.

Save & Close
Cancel

### 3.3.4.4 Commission Details

Commission is not applicable for Drawing/Bills.

### 3.3.4.5 Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

### 3.3.4.6 Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.  This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled.  The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

### 3.3.4.7 Payment Details

Payment Details

to Liquidate

☒

pay Preshipment Credit

☐

Reimbursement Days

Preshipment Credit Availed

☐

Preshipment Credit Repayment Amount

Reimbursement Date

Preshipment Credit A/C No.

Reimbursement Claimed

☐

Liquidate using Collateral

☐

Preshipment Credit Outstanding Amount

Reimbursing Bank

Split Settlement

☒

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency	Original Exchange Rate	Exchange Rate	Deal Reference Numl
JHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	PK2	GBP			
JHG1_LIQD_AMTEQ	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP			
JOLL_LIQ_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP	1		
JOLL_LIQ_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	PK2	GBP	1		
JUAN_LIQD_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	PK1	GBP			

Page 1 of 1 (1-5 of 5 items)

Split Settlement

Component

Contract Currency

Amount

No data to display.

Page 1 (0 of 0 items)

Split Settlement Details

Fetch Exchange Rate

+

Sequence	Amount	Settlement Account	Account Customer	Account Currency	Account Branch	Original Exchange Rate	Exchange Rate	Deal Reference Number	A
1		PK1000332018	000332	GBP	PK1				<input checked="" type="checkbox"/>

Page 1 of 1 (1 of 1 items)

Save & Close

Cancel

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidate	<b>Toggle On:</b> Select Yes, if auto liquidation is required. It is applicable only if the drawing is without discrepancy. <b>Toggle Off:</b> Select No if auto liquidation is not required.	
Preshipment Credit Availed	<b>Toggle On:</b> Select Yes, if pre-shipment credit is availed. <b>Toggle Off:</b> Select No if pre-shipment credit is not availed.	
Pre-shipment Credit A/C No.	This field displays the pre-shipment credit account number.	
Pre-shipment Credit Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Repay Pre-shipment Credit	<b>Toggle On:</b> Select Yes, if pre-shipment credit to be repaid. <b>Toggle Off:</b> Select No if there is no pre-shipment credit to be repaid.	

Field	Description	Sample Values
Pre-shipment Credit Repayment Amount	This field displays the pre-shipment credit repayment amount.	
Reimbursement Claimed	Switch on the toggle if the reimbursement is already claimed.  This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.	
Reimbursing Bank	Read only field.  Reimbursing bank details gets defaulted from the LC.  <div style="text-align: center;"><b>Note</b></div>  If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".	
Reimbursement Days	Provide the number of days before the maturity date on which the reimbursement claim needs to be triggered.	
Split Settlement	<b>Toggle On:</b> Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill  <b>Toggle Off:</b> Disables the user to select more than one account for settlement (Split Settlement) for the	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	

Field	Description	Sample Values
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details The user can click + to add multiple Split Settlement Details.		
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Currency	Currency for the split settlement.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate of split settlement.	
Deal Reference Number	The exchange deal reference number.	

3.3.4.8 Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.

Assignment of Proceeds

Assignment Paid By Importer

Assignee Details

Sequence	Assignee Id & Assignee Details	Assignment %	Currency	Assigned Amount	Account	Assignee Account	Account With Institution
----------	--------------------------------	--------------	----------	-----------------	---------	------------------	--------------------------

No data to display.

Save & CloseCancel

Field	Description	Sample Values
Assignment Paid By Importer	Read only field. This field displays whether the assignment is paid by importer or not.	
Sequence	Read only field. This field displays the sequence number.	
Assignee ID & Assignee Details	Read only field. This field displays the assignee id. Assignee details appear based on assignee ID. <div>Note WALKIN customers is allowed as assignee.</div>	

Field	Description	Sample Values
Assignment %	<p>Read only field.</p> <p>This field displays the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system has to calculate the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places</p>	
Currency	<p>Read only field.</p> <p>This field displays the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.</p>	
Assigned Amount	<p>Read only field.</p> <p>This field displays the amount that has to be assigned to the assignee.</p>	
Account	Click Search to search and select the account.	
Assignee Account	Specify the assignee account	
Account with Institution	User can select the account number of the Account with Institution.	

### 3.3.4.9 Interest Details

The user can view and modify the Interest Details Simulated from Back Office system. On update of the Interest rate user has to click on Recalculate button. System will trigger a simulation call to the back office and the updated Interest details will be reflected in this section.

Interest Details

Recalculate Redefault

Interest Details

Component	Component Description	Rate Type	Rate Code	Min Spread	Max Spread	Spread	Min Rate	Max Rate	Rate	Modified Rate	Special Pricing Reference Number	Currency	Interest
No data to display.													

Save & Close Cancel



### 3.3.4.10

Field	Description	Sample Values
Component	This field displays the component maintained in the back office.	
Component Description	This description of the component.	
Rate Type	System defaults the rate type maintained for the component in back office. Value can be: <ul style="list-style-type: none"> <li>Fixed Floating</li> <li>Special</li> </ul>	
Rate Code	This field displays the rate code applicable for the Component.	
Min Spread	This field displays the minimum spread applicable for the Rate Code. This field have value only if the <b>Rate Type</b> is <b>Floating</b> .	
Max Spread	This field displays the maximum spread applicable for the Rate Code. This field have value only if the <b>Rate Type</b> is <b>Floating</b> .	
Spread	This field displays the spread applicable for the Component in case of Floating Rate Component. User can change the defaulted value. System validates whether the spread input is within the Minimum to Maximum Spread.	
Min Rate	This field displays the minimum rate applicable for the Rate Code.	
Max Rate	This field displays the maximum rate applicable for the Rate Code.	
Rate	This field displays the value applicable for the Rate Code. User can change the value if the <b>Rate Type</b> is <b>Fixed</b> . System validates whether the Rate input is between the Minimum and Maximum Rate.	
Modified Rate	This field displays the modified rate.	

Field	Description	Sample Values
Special Pricing Reference Number	<p>User can capture the Special Pricing Reference Number, when there is a special Interest rate to be provided for that customer against the interest component (Main Component).</p> <p>Special Pricing Reference is not applicable for Penal Interest components.</p> <p>For transactions initiated from OBDX, the Special Pricing Reference Number will be populated from OBDX and user cannot edit the same.</p> <hr/> <p style="text-align: center;"><b>Note</b></p> <p>System shows an override as “Special Pricing Applicable”, on clicking “Save” in the Interest Details screen, if Special Pricing Reference number has been provided.</p>	
Currency	System defaults the Interest currency in this field.	
Amount	User can input the interest amount, in case the <b>Rate Type</b> is <b>Special</b> , else user can not be able to input the amount. In such cases, the amount will be calculated by back office immediately only if the Interest is collected in Advance or if Back Dated Interest is collected. In that scenario, the Amount will be populated on Re-simulation from back office. Otherwise Interest will be calculated only in the batch as maintained.	
Waive	User can waive the Interest applicable. System displays an override, if the user has waived the Interest.	
Charge Party	<p>System displays the Charge Party based on the type of transaction.</p> <p>In case of Export Transactions, Drawer should be the defaulted Charge Party for Collection Bills and Beneficiary for the LC Bills. In case of Import Transactions, Drawee should be the Charge Party for Collection and Applicant for the LC Bills..</p>	

Field	Description	Sample Values
Settlement Account	<p>System defaults the Settlement Account of the Charge Party for debit of Interest. User can change the value. System should display an error if a different customer is chosen. If different account of the Charge Party is selected, system should display a override.</p> <p>In case the user modifies the Interest Rate, the user should click on Recalculate button to get the modified amount from the back office and display the new Amount.(Recalculation is done in back office and not in OBTFPM).</p>	

### 3.3.4.11 FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Provide the FX linkage detail based on the description in the following table:

Following are the features of FX Linkage in BC.

- FX linkage cannot be linked at Bills at initial stage.
- When a bill is drawn under LC, the details of forward contract linked as a part of the LC, will be defaulted at bill.
- Linked amount will be defaulted against the corresponding FX sequentially.
- User can delink or modify the defaulted FX details at in the Bill.
- Bill maturity date should be greater than or equal to FX Value date.
- Sum of Linked amount will not be greater than Bill contract amount.
- Linked amount will not be greater than the available amount for linkage.
- Current Utilized amount will display the liquidated/purchased/discounted/negotiated amount of Bill contract. It cannot go beyond the linked FX amount.
- When a bill is drawn under LC, delink of FX at BC is allowed only if the linked FX is not utilized by the bill.
- Multiple forward FX contract could be linked and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. The same will be populated in the Average FX Rate.

- Provide the FX linkage detail based on the description in the following table:.

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
PK2FXF1211586018	GBP	USD	E157,786.89	1.22	E100.00	E6,147.54	December 31, 2022	<div><div></div><div></div></div>

Page 1 of 1 (1 of 1 Items)

<

1

>

Average FX Rate

0

Save & Close

Cancel

FX Linkage

FX Reference Number \*

032FXF2230890501

Contract Amount

AED AED 149,999,998.50

Linkage Amount \*

AED AED 27,000.00

FX Amount in Local Currency

GBP £149,999,998.50

FX Delivery Period From

Currency

AED

Available FX Contract Amount

AED AED 149,873,698.50

Rate

1.5

FX Expiry Date

Dec 30, 2025

FX Delivery Period To

Save & Close

Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
-------	-------------	---------------

Click + plus icon to add new FX linkage details.

Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> <li>Counterparty of the FX contract should be the counterparty of the Bill contract.</li> <li>Active Forward FX transactions authorized not marked for auto liquidation.</li> </ul> <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Currency	This field displays the FX BOT currency from the linked FX contract.	
Contract Amount	<p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>	
Available FX Contract Amount	<p>This field displays the available FX contract amount.</p> <p>The value is from the "Available Amount" in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>	
Linkage Amount	<p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
FX Amount in Local Currency	<p>This field displays the FX amount in local currency.</p> <p>The value is defaulted as FX BOT currency and Amount from FXDTRONL</p>	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	

Field	Description	Sample Values
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount.  Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version.  The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details.  Click the Delete icon to delete the FX details.	

### Preview Message

User can view the draft message being displayed on the preview message text box.

▼ Preview - SWIFT Message

Save & Close

### 3.3.4.12

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	

### 3.3.4.13 Linked Loan Details

This user can view the details of linked loan accounts.

Linked Loan Details

Linked Loan Details

Loan Account	Loan Currency	Loan Amount
--------------	---------------	-------------

to data to display.

Cancel

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Loan Account	The details of the linked loan account.	



Field	Description	Sample Values
Loan Currency	Loan Currency of the linked loan account.	
Loan Amount	Loan amount of the linked loan account.	

#### 3.3.4.14 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents. Application will display the mandatory and optional documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.  Reject Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance/Limits</li> <li>● R5 - Others.</li> </ul> Select a Reject code and give a Reject Description.  This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>● R1- Documents missing</li> <li>● R2- Signature Missing</li> <li>● R3- Input Error</li> <li>● R4- Insufficient Balance- Limits</li> <li>● R5 - Others</li> </ul>	
Back	Clicking on Back button, takes the user to the previous screen.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

### 3.3.5 Settlement Details

Oracle My Tasks (DEFAULTTENITY) (PK2) May 6, 2019 SRIDH subham@bpt

rt LC Liquidation - DataEnrichment : Application No: PK2ELCL000058413

Settlement Details

☒ Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference N
AMT_PURCHASED	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
AMT_PURCHASEDEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BCCOUR_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_AMND_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_LIQD_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
BILL_LIQD_AMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
BILL_OS_AMT	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No			
CHG1_LIQD_AMTEQ	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			
COLL_LIQD_AMT	GBP	Debit	PK20037630047	CITIBANK IRELAND	GBP	No	No			

Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the description in the following table:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	Application displays the current event as Y or N.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in settlement details section from OBTF	
Exchange Rate	The exchange rate.	
Deal Reference Number	The exchange deal reference number.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

### 3.3.5.1 Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> <li>• Customer Transfer</li> <li>• Bank Transfer for own account</li> <li>• Direct Debit Advice</li> <li>• Managers Check</li> <li>• Customer Transfer with Cover</li> <li>• Bank Transfer</li> </ul>	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> <li>• Beneficiary All Charges</li> <li>• Remitter Our Charges</li> <li>• Remitter All Charges</li> </ul>	

Field	Description	Sample Values
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

### 3.3.5.2 Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

### 3.3.5.3 Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

### 3.3.6 Summary

User can review the summary of details updated in Data Enrichment Export LC Liquidation request.

The tiles must display a list of fields with values. User can drill down from summary Tiles to respective data segments.

**Summary**

Main Details	Maturity Details	Additional Fields	Advices
Product Code : <b>ECUC</b>	Tenor Type : <b>Usance</b>	Click here to view Additional fields	Advice 1
Currency : <b>AED</b>	Tenor Basis		Advice 2
Amount : <b>2000</b>	Maturity Date : <b>2023-09-02</b>		

Limits and Collaterals	Payment Details	Commission, Charges and taxes	Pre Shipment Details
Contribution Currency	Immediate Liquidations : <b>Yes</b>	Charge	Freshment Credit
Amount to Earmark	Immediate Accept	Commission	OutstandingAmount
Limit Status : <b>Not Verified</b>	Reim Claimed	Tax	RepayAmount
Collateral Currency : <b>AED</b>		Block Status : <b>Not Initiated</b>	
Collateral Contr.			
Collateral Status : <b>Not Verified</b>			
Deposit Linkage CCY			
Deposit Linkage Amount			

FX Linkage	Preview Messages	Loan Preferences	Accounting Details
Reference Number	Language : <b>ENG</b>	Loan Product	Event
Linkage Amount	Preview Message	LinkageRefNo	AccountNumber
Contract Currency		Loan Tenor	Branch
		Loan Currency	
		Loan Amount	
		Loan Maturity	

Settlement Details	Parties Details	Compliance details	Assignment of Proceeds
Component	Drawer : <b>UAE Walkin</b>	KYC : <b>Not Initiate...</b>	Assignmentofproceeds : <b>No</b>
Account Number	Issuing Bank : <b>FIRST GULF B...</b>	Sanctions : <b>Not Initiate...</b>	Assignee Name
Currency	Drawee : <b>UAE Walkin</b>	AML : <b>Not Initiate...</b>	Assigned Amount

Linked Loan Details	Interest Details
LoanAcc	Component
Loan Currency	Amount
Loan Amount	Event

**Request Clarification** **Reject** **Refer** **Hold** **Cancel** **Save & Close** **Back** **Print**

#### Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Maturity Details - User can view the maturity details.
- Additional Fields - User can view the details of additional fields.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.
- Commission, Charges and Taxes - User can view charge details.
- Payment Details - User can view the payment details.
- Pre Shipment Details - User can view the pre shipment details.
- Settlement Details - User can view the settlement details.
- FX Linkage - User can view the details of FX linkage.
- Preview Messages - User can view the preview of the draft message.
- Loan Preferences - User can view the loan preference details.
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.
- Assignment of Proceeds - User can view the details of assignment of proceeds.
- Linked Loan Details - User can view the linked loan details.
- Interest Details - User can view interest details.

### 3.3.6.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents. Application will display the mandatory and optional documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Back	Clicking on Back button, takes the user to the previous screen.	
Submit	Task will get moved to next logical stage of Export LC Liquidation.  If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.  This option will not submit the request	
Cancel	Cancel the Liquidation stage inputs.	
Hold	The details provided will be registered and status will be on hold.  This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	

## 3.4 Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. Task. The checks to external system/internal system is initiated after the Data Enrichment Stage.

The amount Block earmark and Limit Earmarks if applicable are also initiated after the Data Enrichment stage.

If a negative response is received for any of the calls, the task lands in respective exceptional queue which require further manual handling/approval.

### 3.4.1 Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account.

#### **3.4.1.1 Amount Block Exception**

This section will display the amount block exception details.

#### **3.4.1.2 Summary**

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.



### 3.4.1.3 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	<p>Cancel the Export LC Liquidation Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

### 3.4.2 Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

### Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

### 3.4.2.1 Summary

ORACLE

(DEFAULT ENTITY)

Oracle Banking Trade Finan...

Jun 13, 2021

ZART

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ort LC Liquidation

Exceptional approval :: Application No:- PK2ELCL000017036

Documents

Remarks

Overrides

Customer Instruction

KYC Exception

Summary

Screen ( 2

<div> <div>Main Details</div> <div> <div>Product Code : EUUD</div> <div>Currency : GBP</div> <div>Amount : 10000</div> </div> </div>	<div> <div>Maturity Details</div> <div> <div>Tenor Type : Usance</div> <div>Tenor Basis : After date o...</div> <div>Maturity Date : 2021-06-30</div> </div> </div>	<div> <div>Advices</div> <div> <div>Advice 1 : AMNDMNT_OF_I...</div> <div>Advice 2 : PAYMENT_MESS...</div> </div> </div>	<div> <div>Limits and Collaterals</div> <div> <div>Contribution Currency :</div> <div>Contribution Amount :</div> <div>Limit Status : Not Verified</div> <div>Collateral Currency : GBP</div> <div>Collateral Contr. :</div> <div>Collateral Status : Not Verified</div> </div> </div>
<div> <div>Payment Details</div> <div> <div>Immediate Accept :</div> <div>Reim Claimed :</div> </div> </div>	<div> <div>Commission, Charges and taxes</div> <div> <div>Charge :</div> <div>Commission :</div> <div>Tax :</div> <div>Block Status : Not Initiated</div> </div> </div>	<div> <div>Additional Fields</div> <div> <div>Click here to view :</div> <div>Additional fields :</div> </div> </div>	<div> <div>Pre Shipment Details</div> <div> <div>Preshipment Credit :</div> <div>OutstandingAmount :</div> <div>RepayAmount :</div> </div> </div>
<div> <div>FX Linkage</div> <div> <div>Reference Number :</div> <div>Linkage Amount :</div> <div>Contract Currency :</div> </div> </div>	<div> <div>Preview Messages</div> <div> <div>Language : ENG</div> <div>Preview Message : -</div> </div> </div>	<div> <div>Loan Preferences</div> <div> <div>Loan Product :</div> <div>linkageRefNo :</div> <div>Loan Tenor :</div> <div>Loan Currency :</div> <div>Loan Amount :</div> <div>Loan Maturity :</div> </div> </div>	<div> <div>Accounting Details</div> <div> <div>Event :</div> <div>AccountNumber :</div> <div>Branch :</div> </div> </div>
<div> <div>Settlement Details</div> <div> <div>Component : MRG_LIQ_AMOU...</div> <div>Account Number : PK2001044001...</div> <div>Currency : GBP</div> </div> </div>	<div> <div>Parties Details</div> <div> <div>Drawee : MARKS AND SP...</div> <div>Drawer : GOODCARE PLC</div> <div>Issuing Bank : CITIBANK IRE...</div> </div> </div>	<div> <div>Compliance details</div> <div> <div>KYC : Not Verified</div> <div>Sanctions : Not Initiate...</div> <div>AML : Not Initiate...</div> </div> </div>	<div> <div>Assignment of Proceeds</div> <div> <div>Assignmentofproceeds Yes</div> <div>Assignee Name : Trade Indiv ...</div> <div>Assigned Amount : 1500</div> </div> </div>
<div> <div>Interest Details</div> <div> <div>Component :</div> <div>Amount :</div> <div>Event :</div> </div> </div>			

edit

Reject

Refer

Hold

Approve

Back

Nil

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Maturity Details - User can view the maturity details.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Payment Details - User can view the payment details.
- Commission, Charges and taxes - User can view charge details.
- Party Details - User can view party details like applicant, advising bank etc.
- Settlement Details - User can view the settlement details.
- FX Details - User can view the details of FX linkage.
- Preview Messages - User can view the preview of the draft message.
- Loan Preferences - User can view the loan preference details.
- Accounting Details - User can view the accounting entries generated in back office.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

- Assignment of Proceeds - User can view the assignment of proceeds details.
- Interest Details - User can view the interest details.

### 3.4.2.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance/Limits</li> <li>• R5 - Others.</li> </ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R4- Insufficient Balance- Limits</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Export LC Liquidation KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

## 3.5 Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles must display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

## Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

### 3.5.1 Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Liquidation Currency Code
- Liquidation Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'FuTura Bank' interface. On the left is a navigation menu with options like 'Core Maintenance', 'Dashboard', 'Maintenance', 'Security Management', 'Tasks', 'Free Tasks', 'Hold Tasks', 'My Tasks' (selected), 'Search', 'Supervisor Tasks', and 'Trade Finance'. The main area is titled 'My Tasks' and shows a table of tasks. A modal window titled 'Approval Rekey' is open in the center. It has three tabs: 'Incoming Message', 'Documents', and 'Remarks'. The 'Incoming Message' tab is active, showing two fields: 'Liquidation Currency Code' with a dropdown menu set to 'GBP' and a green checkmark, and 'Liquidation Amount' with a text input set to '£100.00' and a green checkmark. At the bottom of the modal are three buttons: 'Proceed', 'Refer', and 'Cancel'. The background table has columns for 'Action', 'Priority', 'Application Number', 'Process Name', 'Stage', and 'Back Office Ref No'. It lists several tasks, including 'port LC Liquidation', 'port LC Amendment-Beneficiar...', 'port LC Update Drawings', 'port LC Drawing', 'port LC Amendment-Beneficiar...', and 'port LC Amendment', all in 'Approval1' stage.

#### 3.5.1.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	

#### Action Buttons

Field	Description	Sample Values
Proceed	On proceed, the screen navigates to approval summary screen.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> <li>• R1- Documents missing</li> <li>• R2- Signature Missing</li> <li>• R3- Input Error</li> <li>• R5 - Others</li> </ul>	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

### 3.5.2 Summary

(DEFAULTTENITY)
Oracle Banking Trade Finan... Jun 13, 2021

Export LC Liquidation  
Approval Task Level 1 :: Application No:- PK2ELCL000017036

Documents Remarks Overrides Customer Instruction

<b>Main Details</b> Product Code : EUUD Currency : GBP Amount : 10000	<b>Maturity Details</b> Tenor Type : Usance Tenor Basis : After date o... Maturity Date : 2021-06-30	<b>Advices</b> Advice 1 : AMNDMNT_OF_I... Advice 2 : PAYMENT_MESS...	<b>Limits and Collaterals</b> Contribution Currency : Contribution Amount : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : Collateral Status : Not Verified	<b>Payment Details</b> Immediate Accept : Reim Claimed :
<b>Commission, Charges and taxes</b> Charge : Commission : Tax : Block Status : Not Initiated	<b>Additional Fields</b> Click here to view : Additional fields	<b>Pre Shipment Details</b> Preshipment Credit : OutstandingAmount : RepayAmount :	<b>FX Linkage</b> Reference Number : Linkage Amount : Contract Currency :	<b>Preview Messages</b> Language : ENG Preview Message : -
<b>Loan Preferences</b> Loan Product : LinkageRefNo : Loan Tenor : Loan Currency : Loan Amount : Loan Maturity :	<b>Accounting Details</b> Event : AccountNumber : Branch :	<b>Settlement Details</b> Component : MRG_LIQ_AMOU... Account Number : PK2001044001... Currency : GBP	<b>Parties Details</b> Drawee : MARKS AND SP... Drawer : GOODCARE PLC Issuing Bank : CITIBANK IRE...	<b>Compliance details</b> KYC : Not Verified Sanctions : Verified AML : Verified
<b>Linked Loan Details</b> LoanAcc : Loan Currency : Loan Amount :	<b>Assignment of Proceeds</b> Assignmentofproceeds : Yes Assignee Name : Trade Indiv ... Assigned Amount : 1500	<b>Exception(Approval)</b> KYC : EXCEPTION PLEASE VISIT REMARKS FOR MORE DETAILS	<b>Interest Details</b> Component : Amount : Event :	

Audit
Reject Hold Refer Cancel

#### Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charge Details - User can view charge details.
- Advices - User can view the details of the advices.
- Preview Messages - User can view the preview of the draft message.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.5.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance/Limits</li><li>• R5 - Others.</li></ul> <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"><li>• R1- Documents missing</li><li>• R2- Signature Missing</li><li>• R3- Input Error</li><li>• R4- Insufficient Balance- Limits</li><li>• R5 - Others</li></ul>	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

## 3.6 Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Liquidation in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

### 3.6.1 **Application Details**

The application details data segment have values for requests received from both non-online and online channels.

### 3.6.2 **Summary**

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Response - User can view beneficiary response details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

### 3.6.3 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	





## A

Additional Details	
Action Buttons	27
Charge Details	20
FX Linkage	24
Limits & Collateral	17
Loan Preference	25
Payment Details	23

## B

Benefits	1
----------	---

## D

Data Enrichment	8
Additional Details	16
Advices	15
Main Details	10
Summary	30

## E

Exceptions	
Exception - Amount Block	32
Exception - Know Your Customer (KYC)	33
Exception - Limit Check/Credit	35
Export LC Liquidation	2
Data Enrichment	8
Exceptions	31
Multi Level Approval	35
Registration	2
Reject Approval	38

## K

Key Features	1
--------------	---

## M

Main Details	
Action Buttons	12
Application Details	11
Liquidation Details	11
Maturity Details	
Other Bank Charges	14
Multi Level Approval	
Authorization Re-Key (Non-Online Channel)	35
Summary	36

## O

Overview	1
----------	---

## R

Registration	2
Liquidation Details	5
Miscellaneous	7
Reject Approval	38
Action Buttons	39
Application Details	38
Summary	38